

Audit & Standards Committee

15 November 2016

Developing the Audit & Standards Committee

Appendix 1 - Forward planning

1 Forward plan 2016/17

Date	Regular agenda items	Specific agenda items	Links to Training or Deep Dives
15 November 2016	<ul style="list-style-type: none"> • See current agenda 	<ul style="list-style-type: none"> • See current agenda 	<ul style="list-style-type: none"> • Training on good governance
10 January 2017	<ul style="list-style-type: none"> • Risk map focus • Internal audit progress report • Standards update 	<ul style="list-style-type: none"> • EY certification report • Strategic risk register review • Corporate risk assurance framework (CRAF) 	<ul style="list-style-type: none"> • Training on gaining assurance using the three lines of defence model • Agree deep dive based on revised strategic risk register and review of the CRAF
7 March 2017	<ul style="list-style-type: none"> • Customer feedback • Risk map focus • Internal audit progress report • Standards update 	<ul style="list-style-type: none"> • EY plan • EY fee letter • IA plan • Policy reviews and updates such as <ul style="list-style-type: none"> ○ accounting policies ○ whistleblowing ○ counter-fraud ○ treasury management 	<ul style="list-style-type: none"> • Deep dive as determined above

2 2017/18 outline forward plan

Draft Date	Regular agenda items	Specific agenda items	Links to training and deep dives
25 July 2017	<ul style="list-style-type: none"> • Customer feedback • Risk map focus • Standards update 	<ul style="list-style-type: none"> • EY audit results report • Statements of account • Annual governance statement supported by other annual reports 	<p>Deep dive on 6 June 2017 to review annual reports that feed into the annual governance statement including:</p> <ul style="list-style-type: none"> • Head of Internal Audit annual opinion • Review of Audit & Standards Committee activity <p>Training on financial statements (before formal Committee meeting)</p>
19 September 2017	<ul style="list-style-type: none"> • Customer feedback • Risk map focus • Internal audit progress report • Standards update 	<ul style="list-style-type: none"> • EY annual audit letter • Review of: <ul style="list-style-type: none"> ○ strategic risk register ○ corporate risk assurance framework ○ Targeted Budget Management (TBM) • Annual surveillance report 	<p>Deep dive into Targeted Budget Management (TBM)</p>
9 January 2018	<ul style="list-style-type: none"> • Customer feedback • Risk map focus • Internal audit progress report • Standards update 	<ul style="list-style-type: none"> • EY plan • EY fee letter • IA plan • Policy reviews and updates (part 1) <ul style="list-style-type: none"> ○ Accounting policies ○ Treasury management policy 	<p>Training (to be determined)</p>
27 March 2018	<ul style="list-style-type: none"> • Customer feedback • Risk map focus • Internal audit progress report • Standards update 	<ul style="list-style-type: none"> • EY plan • EY fee letter • IA plan • Policy reviews and updates <ul style="list-style-type: none"> ○ Whistle blowing ○ Counter-fraud 	<p>Deep dive (to be determined)</p>

